

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



December 4, 2020

Jeffrey T. Linam
Vice President of Rates & Regulatory
California-American Water Company
4701 Beloit Drive
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 1309, filed on September 18, 2020, regarding the 2017 – 2020 NOAA/ESA Recovery for the Monterey Service Area.

Enclosed are copies of the following revised tariff sheets, effective October 19, 2020, for the utility's files:

P.U.C. Sheet No.	Title of Sheet
9760-W	Schedule No. MO-1-SF (continued), Residential Customers General Metered Service in the Monterey County Tariff Area
9761-W	Schedule No. MO-1-MF (continued) General Metered Service in the Monterey County Tariff Area Multi-Residential Customers
9762-W	Schedule No. MO-1C (continued), Non-Residential Customers General Metered Service in the Monterey County Tariff Area
9763-W	Schedule No. MO-1O (continued), Other Customers General Metered Service in the Monterey County Tariff Area
9764-W	Table of Contents (Page 3)
9765-W	Table of Contents (Page 2)
9766-W	Table of Contents (Page 1)

Please contact Bradley Leong at BL4@cpuc.ca.gov or 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant
Water Division

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

Utility Name: California American Water District: Monterey Service Area CPUC Utility #: U210W Advice Letter #: 1309 Tier <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> Compliance Authorization D. 18-12-021 Description: 2017 – 2020 NOAA/ESA Recovery Monterey County	Date Mailed to Service List: September 18, 2020 Protest Deadline (20th Day): October 9, 2020 Review Deadline (30th Day): October 19, 2020 Requested Effective Date: October 19, 2020 Rate Impact: \$See AL See AL%
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The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the “Response or Protest” section in the advice letter for more information.

Utility Contact: Kamilah Jones
Phone: 916-568-4232
Email: Kamilah.Jones@amwater.com

Utility Contact: Jonathan Morse
Phone: 916-568-4237
Email: Jonathan.morse@amwater.com

DWA Contact: Tariff Unit
Phone: (415) 703-1133
Email: Water.Division@cpuc.ca.gov

DWA USE ONLY		
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<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>

[] APPROVED [] WITHDRAWN [] REJECTED

Signature: _____ Date: _____	Comments: _____ _____
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4701 Beloit Drive
Sacramento, CA 95838
www.amwater.com

P (916)-568-4251
F (916) 568-4260

September 18, 2020

ADVICE LETTER NO. 1309

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company ("California American Water") (U210W) hereby submits for review this advice letter, including the following tariff sheets, attached hereto, which are applicable to the Monterey County District:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
9760-W	Schedule No. MO-1-SF (continued) General Metered Service in the Monterey County Tariff Area RESIDENTIAL CUSTOMERS	9712-W
9761-W	Schedule No. MO-1-MF (continued) General Metered Service in the Monterey County Tariff Area MULTI-RESIDENTIAL CUSTOMERS	9715-W
9762-W	Schedule No. MO-1C (continued) General Metered Service in the Monterey County Tariff Area NON-RESIDENTIAL CUSTOMERS	9734-W
9763-W	Schedule No. MO-1O (continued) General Metered Service in the Monterey County Tariff Area OTHER CUSTOMERS	9736-W
9764-W	TABLE OF CONTENTS (PAGE 3)	9737-W
9765-W	TABLE OF CONTENTS (PAGE 2)	9756-W
9766-W	TABLE OF CONTENTS (PAGE 1)	9757-W

Purpose:

This advice letter filing seeks review and approval of the compliance payments made by California American Water to the National Oceanic and Atmospheric Administration ("NOAA") or its designated payee for Federal Endangered Species Act ("ESA") mitigation in the Monterey County District. These payments have been tracked in the NOAA ESA Memorandum Account which was approved by the California Public Utilities Commission ("Commission") in AL 652-A and AL 653 and recently affirmed in Decision ("D.") 18-12-021.

Background:

Per the settlement agreements adopted in D.12-06-016 and D.15-04-007, the Division of Ratepayer Advocates (“DRA”) and the Office of Ratepayer Advocates (“ORA”), respectively, now the California Public Advocates, and California American Water agreed to retain the NOAA/ESA memorandum account to track the compliance payments made by California American Water to NOAA or its designated payee for ESA mitigation. The parties agreed recovery of annual payments shall be through a Tier 2 advice letter. The parties also agreed that should California American Water incur costs to address to-be determined obligations in connection with an extension of the NOAA Agreement beyond 2016, California American Water it may file a Tier 3 advice letter to request such costs be included in the NOAA/ESA memorandum account.

In D.18-12-021 the Commission authorized continuation of the NOAA ESA Memorandum Account. Specifically, Conclusion of Law Paragraph 142 states the following:

142. *The continuation of the National Oceanic and Atmosphere Administration Endangered Species Act Memorandum Account, including Cal-Am’s ability to seek recovery of any future required annual payments through this account, should be authorized.*

California American Water recorded four separate \$1.1 million annual payments to the NOAA ESA Memorandum Account (January 2018, June 2018, June 2019, and June 2020). In addition, California American Water will be refunding \$653,824 to Monterey County District customers due to an over-collection between 2013 and 2014.

Request:

California American Water requests that the \$3.8 million (including customer credit) and accumulated interest, be transferred to the consolidated expense balancing account (CEBA) for recovery over four years effective October 19, 2020.

The total requested recovery to be transferred, including interest, to the CEBA is \$3,820,757, and total CEBA recovery is \$4,939,095. Once transferred, the CEBA balance will be 7.24% of 2020 authorized revenue. The Monterey CEBA surcharge of \$.0831 per 100 gallons will be collected for 24 months. Pursuant to SP U-27-W¹, memorandum account amortization surcharges are usually spread over two years for under-collections under 10% of gross revenues, which is the request here.

The surcharge would be levied on all customers in the Monterey Service Area only. The Toro, Ambler, Ralph Lane and Chualar (Central Satellite) systems are currently exempt from this surcharge.

California American Water additionally requests approval of the updated NOAA ESA Memorandum Account description to account for the extension of the NOAA Agreement beyond

² SP U-27-W, p. 16.

2016 and the approvals granted in D.18-12-021. On December 28, 2018, D. 18-12-021, extended the agreement.

Tier Designation:

This advice letter is submitted with a Tier 2 designation.

Effective Date:

California American Water requests an effective date of October 19, 2020.

Service List:

In accordance with Section 4.3 of General Order 96-B, a copy of this advice letter has been served upon all interested and affected parties as shown in Exhibit A.

Protests and Responses:

Anyone may respond to or protest this advice letter. A response supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter.

A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material errors or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission).

A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue, San Francisco, CA 94102

water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Recipients: CA Rates	E-Mail: ca.rates@amwater.com	Mailing Address: 4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260
Sarah E. Leeper <i>Vice President – Legal, Regulatory</i>	sarah.leeper@amwater.com	333 Hayes Street, Ste. 202 San Francisco, CA 94102 Fax: (415) 863-0615
Kamilah Jones <i>Senior Financial Analyst – Rates & Regulatory</i>	Kamilah.jones@amwater.com	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4232

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4232.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Kamilah Jones

Kamilah Jones
Senior Financial Analyst - Rates & Regulatory

Schedule No. MO-1-SF (Continued)
 GENERAL METERED SERVICE in the Monterey County District Tariff Area
SINGLE FAMILY RESIDENTIAL CUSTOMERS

Sheet 7

SPECIAL CONDITIONS (continued):

Fees and Surcharges

- 9. Per Advice Letter 1309, the under-collected balance in the Monterey Service Area Consolidated Expense Balancing Account will be recovered through a quantity-based surcharge of \$0.0831 per 100 gallons over 24 months effective October 19, 2020. This total amount will be recovered from all classes of customers except for Chualar Customers. (C)
- 10. Decision 12-06-040 authorized Carmel River Reroute and San Clemente Dam Removal Project costs to be included in the San Clemente Dam balancing account. Per Decision 18-12-021, California American Water will recover the regulatory asset / San Clemente Dam balancing account in base rates over a 20-year period starting January 1, 2018. Current authorized recovery of \$7,921,004 per annum is included in base rates. (C)
- 11. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019. (C)

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$1.47
3/4	\$2.21
1	\$3.68
1 1/2	\$7.35
2	\$11.77
3	\$22.06
4	\$36.77
6	\$73.54
8	\$117.66
10	\$169.14

- 12. Per Advice Letter 1265-A, the net under-collected balance of the 2018 residential WRAM/MCBA is \$38,829, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Since the existing 2016 surcharge meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted schedule per D.12-04-048 Appendix A, the volumetric surcharge would be \$0.0021 per 100 gallons over 12 months. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization.

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1309

J. T. LINAM

Date Filed 09/18/2020

Decision

DIRECTOR - Rates & Regulatory

Effective 10/19/2020

Resolution _____

Schedule No. MO-1-MF (Continued)
 GENERAL METERED SERVICE in the Monterey County District Tariff Area
MULTI-FAMILY RESIDENTIAL CUSTOMERS

Sheet 7

SPECIAL CONDITIONS (continued):

Fees and Surcharges

- 9. Per Advice Letter 1309, the under-collected balance in the Monterey Service Area Consolidated Expense Balancing Account will be recovered through a quantity-based surcharge of \$0.0831 per 100 gallons over 24 months effective October 19, 2020. This total amount will be recovered from all classes of customers except for Chualar Customers. (C)
(C)
(C)
- 10. Decision 12-06-040 authorized Carmel River Reroute and San Clemente Dam Removal Project costs to be included in the San Clemente Dam balancing account. Per Decision 18-12-021, California American Water will recover the regulatory asset / San Clemente Dam balancing account in base rates over a 20-year period starting January 1, 2018. Current authorized recovery of \$7,921,004 per annum is included in base rates.
- 11. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$ 1.47
3/4	\$ 2.21
1	\$ 3.68
1 1/2	\$ 7.35
2	\$ 11.77
3	\$ 22.06
4	\$ 36.77
6	\$ 73.54
8	\$ 117.66
10	\$ 169.14

- 12. Per Advice Letter 1265-A, the net under-collected balance of the 2018 residential WRAM/MCBA is \$38,829, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Since the existing 2016 surcharge meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted schedule per D.12-04-048 Appendix A, the volumetric surcharge would be \$0.0021 per 100 gallons over 12 months. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization.

(Continued)

(TO BE INSERTED BY UTILITY)

ISSUED BY

(TO BE INSERTED BY C.P.U.C.)

Advice 1309

J. T. LINAM

Date Filed 09/18/2020

Decision

DIRECTOR - Rates & Regulatory

Effective 10/19/2020

Resolution _____

Schedule No. MO-1C (Continued)
General Metered Service in the Monterey County District Tariff Area
NON-RESIDENTIAL CUSTOMERS

SPECIAL CONDITIONS (continued):
Fees and Surcharges

- 10. Per Advice Letter 1309, the under-collected balance in the Monterey Service Area Consolidated Expense Balancing Account will be recovered through a quantity-based surcharge of \$0.0831 per 100 gallons over 24 months effective October 19, 2020. This total amount will be recovered from all classes of customers except for Chualar Customers. (C)
- 11. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019. (C)

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$ 1.47
3/4	\$ 2.21
1	\$ 3.68
1 1/2	\$ 7.35
2	\$ 11.77
3	\$ 22.06
4	\$ 36.77
6	\$ 73.54
8	\$ 117.66
10	\$ 169.14

- 12. Per Advice Letter 1265-A, the net under-collected balance of the 2017 and 2018 residential WRAM/MCBA is \$107,230, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Since the existing 2016 surcharge meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted schedule per D.12-04-048 Appendix A, the volumetric surcharge per 100 gallons over 12 months. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization.

	Quantity Rate (CGL)
Block 1	\$0.0092
Block 2	\$0.0103
Block 3	\$0.0115
Block 4	\$0.0230

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1309	J. T. LINAM	Date Filed <u>09/18/2020</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>10/19/2020</u>
		Resolution _____

Schedule No. MO-10 (Continued)
 General Metered Service in the Monterey County District Tariff Area
OTHER CUSTOMERS

Sheet 7

SPECIAL CONDITIONS (continued):

Fees and Surcharges

8. Per Advice Letter 1309, the under-collected balance in the Monterey Service Area Consolidated Expense Balancing Account will be recovered through a quantity-based surcharge of \$0.0831 per 100 gallons over 24 months effective October 19, 2020. This total amount will be recovered from all classes of customers except for Chualar Customers. (C)
9. Decision 12-06-040 authorized Carmel River Reroute and San Clemente Dam Removal Project costs to be included in the San Clemente Dam balancing account. Per Decision 18-12-021, California American Water will recover the regulatory asset / San Clemente Dam balancing account in base rates over a 20-year period starting January 1, 2018. Current authorized recovery of \$7,921,004 per annum is included in base rates. (C)
10. Per D.18-12-021 a meter based bill credit for the Excess Non-Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019. (C)

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$1.47
3/4	\$2.21
1	\$3.68
1 1/2	\$7.35
2	\$11.77
3	\$22.06
4	\$36.77
6	\$73.54
8	\$117.66
10	\$169.14

11. Per Advice Letter 1265-A, the net under-collected balance of the 2017 and 2018 residential WRAM/MCBA is \$106,180, including interest. D.18-12-021 raises the cap on WRAM/MCBA surcharges to 15% of the authorized revenue requirement. Since the existing 2016 surcharge meets this cap, there is no charge on the bill at this time. If the WRAM/MCBA was billed based on the balance, the current authorized consumption, and the adopted schedule per D.12-04-048 Appendix A, the volumetric surcharge per 100 gallons over 12 months. After cessation of the 2016 surcharge, a separate Tier 1 filing will be made at that time to update the tariff language and surcharge with the then current authorized amortization

Division	Quantity Rate (CGL)
Division 1	\$0.0055
Division 2	\$0.0110
Division 3	\$0.0438

(Continued)

(TO BE INSERTED BY UTILITY) Advice 1309 Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>09/18/2020</u> Effective <u>10/19/2020</u> Resolution _____
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C.P.U.C. SHEET NO.

Monterey County District (Continued):

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	Multi-Residential Customers	9761-W, 9716-W, 9580-W, 9613-W	(C)
MO-1C	General Metered Service	9430-W, 8957-W, 8958-W, 8959-W, 8960-W, 8961-W,	
	Non-Residential Customers	9120-W, 9733-W, 9762-W, 9719-W, 9581-W, 9614-W	(C)
MO-1O	General Metered Service	9413-W, 9414-W, 8967-W, 8968-W, 9121-W, 9735-W,	
	Other Customers	9763-W, 9722-W, 9582-W, 9615-W	(C)

Central Satellite

CEN-1	General Metered Service	9415-W, 9416-W, 8974-W, 9707-W, 9708-W, 9584-W, 9585-W	
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Northern District

ND-1	General Metered Service	9391-W, 9392-W, 9393-W, 9394-W, 9164-W, 9442-W, 9704-W, 9593-W, 9594-W, 9595-W	
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San Diego County District

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Ventura County District

VN-1	General Metered Service	9377-W, 9378-W, 9695-W, 9220-W, 9603-W	
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(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1309
Decision

ISSUED BY

J. T. LINAM
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 09/18/2020
Effective 10/19/2020
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Sacramento- Dunnigan	8163-W
Sacramento- Geyserville	8321-W
Sacramento - Meadowbrook	8512-W
San Diego County	6601-W, 6602-W
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<u>RATE SCHEDULES:</u>	
All Districts -- Low Income Ratepayer Assistance Program	
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FV-1 General Metered Service	9739-W
FV-2 General Fat Rate Service	9740-W
FV-9MC Metered Construction Service	9490-W
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H1 General Metered Service	9558-W, 9559-W, 9741-W, 9561-W
H-LC Late Payment Charge	9729-W
H-9M Measured Services For Trucks	9568-W
LK-F Facilities Fees	7651-W
<u>Los Angeles County District</u>	
LA-1 General Metered Service	9400-W, 9401-W, 9402-W, 8980-W, 9701-W, 9440-W, 9586-W
LA-3M Measured Irrigation Service	9587-W, 9588-W, 9589-W, 9609-W, 9591-W
LA-RP-1 General Metered Service	9742-W, 9743-W
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<i>(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)</i>	
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Single Family Residential Customers	9579-W, 9612-W

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1309	J. T. LINAM	Date Filed <u>09/18/2020</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>10/19/2020</u>
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(Continued)

<u>(TO BE INSERTED BY UTILITY)</u>	<u>ISSUED BY</u>	<u>(TO BE INSERTED BY C.P.U.C.)</u>
Advice 1309	J. T. LINAM	Date Filed <u>09/18/2020</u>
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		Resolution _____

MONTEREY COUNTY DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1309

BY MAIL:

Joe Lucido 25417 Boots Road Monterey, CA 93940	Los Angeles Docket Office California Public Utilities Commission 320 West 4th Street, Suite 500 Los Angeles, CA 90013	
Alco Water Service 249 Williams Road Salinas, CA 93901	Monterey Regional Water Pollution Control Agency (MRWPCA) 5 Harris Court Road. Bldg D. Monterey, CA 93940	Monterey Peninsula Water Mgmt Dist. Chief Financial Officer P.O. Box 85 Monterey, CA 93942
Yazdan Emrani, P.E. Deputy Pub Works Director – Operations Monterey County DPW 168 W. Alisal Street, 2nd floor Salinas, CA 93901-2680	City of Pacific Grove c/o Community Development Department Attention: Sarah Hardgrave 300 Forest Ave., 2nd floor Pacific Grove, CA 93950	City of Pacific Grove City Attorney/City Hall 300 Forest Ave 2nd floor Pacific Grove, CA 93950
Ann Camel City Clerk City of Salinas 200 Lincoln Avenue Salinas, CA 93901	City of Sand City City Hall California & Sylvan Avenues Sand City, CA 93955 Attn: City Clerk	Deborah Mall, City Attorney City of Monterey 512 Pierce Street Monterey, CA 93940
Karen Crouch City Clerk, Carmel-By-The-Sea PO Box CC Carmel-by-the-Sea, CA 93921	Darryl D. Kenyon Monterey Commercial Property Owners Association P.O. Box 1953 Monterey, CA 93942	Irvin L. Grant Deputy County Counsel County of Monterey 168 W. Alisal Street, 3rd floor Salinas, CA 93901-2680
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